

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 99CV02

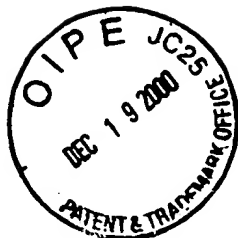
Applicant:
Crescenti, et al.§ Group Art Unit: 2900
§
§
§
§
§
§
§
§
§
§

Serial No. 09/610,738

Filed: July 6, 2000

For: Modular Backup and Retrieval
System Used in Conjunction
With a Storage Area Network

Examiner: Unassigned

**CERTIFICATE OF MAILING**

Pursuant to 37 C.F.R. Sec. 1.8, I hereby certify that this paper is being deposited with the United States Postal Service, as first class mail, in an envelope addressed to: Application Processing Division, Customer Correction Branch, U.S. Department of Commerce, Patents and Trademark Office, Washington, D.C. 20231, on November 2, 2000.

Person Mailing

TRANSMITTAL LETTER

Application Processing Division
Customer Correction Branch
United States Department of Commerce
Patent & Trademark Office
Washington, D.C. 20231

Dear Sir:

In regard to the above-identified application, we are transmitting herewith the following:

- a. Request for Corrected Official Filing Receipt (1 page);
- b. A photocopy of the original filing receipt on which the errors have been noted in red (2 pp.);
- c. Check in the amount of \$25.00; and
- d. Return postcard.

No other fees are believed to be due. However, if any fees are deemed necessary, the Commissioner is authorized to charge Akin, Gump, Strauss, Hauer & Feld, L.L.P., Deposit Account No. 01-0660.

Respectfully submitted,

Date: November 2, 2000

Russell C. Scott
Reg. No. 43,103

RECEIVED
MAR 14 2001
TC 2100 MAILROOM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 99CV02

PATENT

Applicant:
Crescenti, et al.

§ Group Art Unit: 2900
§
§
§
§
§
§
§
§
§
§
§
§

Examiner: Unassigned

Serial No. 09/610,738

Filed: July 6, 2000

For: Modular Backup and Retrieval
System Used in Conjunction
With a Storage Area Network

CERTIFICATE OF MAILING

Pursuant to 37 C.F.R. Sec. 1.8, I hereby certify that this paper is being deposited with the United States Postal Service, as first class mail, in an envelope addressed to: Application Processing Division, Customer Correction Branch, U.S. Department of Commerce, Patents and Trademark Office, Washington, D.C. 20231, on November 2, 2000.

[Signature]
Person Mailing

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Application Processing Division
Customer Correction Branch
United States Department of Commerce
Patent & Trademark Office
Washington, D.C. 20231

Dear Sir:

A corrected filing receipt is hereby requested in view of the errors which appear in the original filing receipt. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original filing receipt on which the errors have been noted in red. Applicant respectfully requests that these changes be made and that a corrected Filing Receipt be reissued for the above-identified application.

Since the above corrections are due to Applicant's error, a fee under 37 C.F.R. 1.19(h) of \$25.00 is enclosed. If any additional charges are deemed necessary, the Commissioner is authorized to charge Akin, Gump, Strauss, Hauer & Feld, L.L.P. Deposit Account No. 01-0660.

Respectfully submitted,

Date: November 2, 2000

[Signature]
Russell C. Scott
Reg No. 43,103



RECEIVED
MAR 14 2001
TC 2100 MAILED ON



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/610,738	07/06/2000	2900	0	99CV02	3	20	3

James D Bennett
Akin Gump Strauss Hauer & Feld L L P
816 Congress Avenue
1900 Frost Bank Building
Austin, TX 78701

FILING RECEIPT



OC000000005384872

Date Mailed: 09/08/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

John Crescenti, Freehold, NJ ;
Srinivas Kavuri, Highland Park, NJ ;
David Alan Oshinsky, East Brunswick, NJ ;
Anand Prahlad, Ocean, NJ ;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/143,743 07/14/1999

Foreign Applications

→ [AND CLAIMS BENEFIT OF 60/143,744, 07/14/99
AND CLAIMS BENEFIT OF 60/179,345, 01/31/00]

If Required, Foreign Filing License Granted 09/08/2000

Title

Modular backup and retrieval system used in conjunction with a storage area network

Preliminary Class

D01

Data entry by : MARTIN, DIANE

Team : OIPE

Date: 09/08/2000

RECEIVED
MAR 14 2001
TC 2100 MAILROOM



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

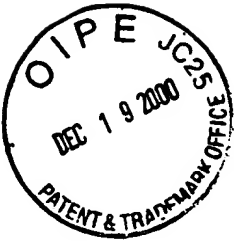
No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.
ATTN: IP DOCKETING
816 CONGRESS AVENUE, SUITE 1900
AUSTIN, TEXAS 78701



Avery Laser Index & Postcard #5389

RECEIVED
MAR 14 2001
TC 2100 MAILROOM

APPLICATION PROCESSING DIVISION

Please place the receipt stamp of the Patent Office hereon and place in outgoing mail to acknowledge receipt of the below-identified paper(s):

Serial No.: 09/610,738
Filed: July 6, 2000
Applicant: Crescenti, et al.
Title: MODULAR BACKUP AND RETRIEVAL SYSTEM USED IN
CONJUNCTION WITH A STORAGE AREA NETWORK
Client: Comm Vault Systems, Inc.
AGSHF ID: 044463.0024
Atty Dkt No.: 99CV02
Attorney: RCS/tan

Inclusions:

Transmittal Letter with Certificate of Mailing under (☒ 37 C.F.R. 1.8(a))
Request for Corrected Official Filing Receipt
A photocopy of the original filing receipt on which the errors have been noted in red (2 pp.)
Check in the amount of \$25.00
Return Postcard

Date Mailed: November 2, 2000
VIA FIRST CLASS MAIL POSTAGE PREPAID



BOX NON-FEE AMENDMENT

The stamp of the Patent Office, placed hereon, acknowledges receipt of:

Serial No.: 09/610,738
Filed: July 6, 2000
Applicant: Crescenti, et al.
Title: MODULAR BACKUP AND RETRIEVAL SYSTEM USED IN
CONJUNCTION WITH A STORAGE AREA NETWORK
Client: Comm Vault Systems, Inc.
AGSHF ID: 044463.0024
Atty Dkt No.: 99CV02
Attorney: RCS/tan

Enclosed:

- ☒ Transmittal Letter with Certificate of Mailing under (☒ 37 C.F.R. 1.8(a).)
☒ Preliminary Amendment (3 pp.)
☒ Return Postcard

Date Mailed: November 2, 2000
VIA FIRST CLASS MAIL POSTAGE PREPAID



TC 2100 MAILROOM

MAR 14 2001

RECEIVED